

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION #7

Inventor(s): KREUTZER et al.

Appln. No.: 09

810,521

Series Code ↑

Serial No. ↑

Filed: March 19, 2001

Hon. Commissioner of Patents  
Washington, D.C. 20231

Group Art Unit

Examiner:

Atty. Dkt. P

To Be Assigned

To Be Assigned

278416

980183BT-CIP

Client Ref

Appln. Title: L-lysine-producing corynebacteria and  
process for the preparation of lysine

Sir:

## REPLY/AMENDMENT/LETTER

Date: August 15, 2001

This is a reply/amendment/letter in the above-identified application and includes the herewith attachment of same date and subject which is incorporated hereinto by reference and the signature below is treated as the signature to the attachment in absence of a signature thereto.

## FEE REQUIREMENTS FOR CLAIMS AS AMENDED

## 1. Small Entity claim

- A. ☒ NOT made  
B. ☐ Withdrawn  
C. ☐ made herewith  
D. ☐ made previously

For B & C  
See Required  
Separate Paper  
(Pat-256)

Claims remaining after amendment	Highest number previously paid for	Present Extra	Large/Small Entity	Additional Fee	Fee Code Lg/Sm
2. Total Effective Claims	34	**minus 34	0		
3. Independent Claims	11	***minus 11	0	x \$18/\$9 = + \$0	103/203
4. If amendment enters proper multiple dependent claim(s) into this application for first time (leave blank if this is a reissue application)				x \$80/\$40 = + \$0	102/202
5. Original due Date: July 15, 2001				+ \$270/\$135 = + \$0	104/204
6. Petition is hereby made to extend the original due date to cover the date this response is filed for which the requisite fee is attached	(1 mo) \$110/\$55 = (2 mos) \$390/\$195 = (3 mos) \$890/\$445 = (Usable only for ≤ 2mo.OA --- 4 mos) \$1390/\$695= (Usable only for 30 day/1mo.OA --- 5 mos) \$1890/\$945=		+ \$110		115/215 116/216 117/217 118/218 128/228
7. Enter any previous extension fee paid since above original due date and subtract			- \$0		
8.					
9. If Terminal Disclaimer attached, add Rule 20(d) official fee			Extension Fee Attached	+ \$110	
10. If IDS attached requires Official Fee under Rule 97 (c), or if Rule 97(d) Request			+ \$110/\$55	+ \$0	148/248
11. After-Final Request Fee per rules 129(a) and 17(r)			+ \$180	+ \$0	126
12. No. of additional inventions for examination per Rule 129(b)			+ \$180	+ \$0	126
13. Request for Continued Examination (RCE)			+ \$710/355	+ \$0	146/246
14. Petition fee for			x \$710/355 ea	+ \$0	149/249
15.			+ \$710/355	+ \$0	1179/1279
16. *If the entry in this space is less than entry in next space, the "Present Extra" result is "0".					
17. **If the "Highest number previously paid for" in this space is less than 20, write "20" in this space.					
18. ***If the "Highest number previously paid for" in this space is less than 3, write "3" in this space.					
TOTAL FEE ENCLOSED =				\$110	

08/16/2001 CCHAU1 00000209 09810521

01 FC:15

110.00 0P

Our Deposit Account No. 03-3975)

(Our Order No. 21123 278416

C#

M#

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official Document under Rule 20, or credit any overpayment, to our Accounting/Order Nos. shown above, for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal sheet is filed.

Query: Is appeal deadline now? If so, file Notice of Appeals separately.

Pillsbury Winthrop LLP  
Intellectual Property Group  
By Atty: Michael A. Sanzo

Sig:

*Michael A. Sanzo*

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NOTE: File this cover sheet in duplicate with PTO receipt (PAT-103A) and attachments



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/810,521	03/19/2001	Caroline Kreutzer	P 278416 980183 BT-CIP

CONFIRMATION NO. 6186

## FORMALITIES LETTER



\*OC000000006321800\*

Date Mailed: 07/20/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

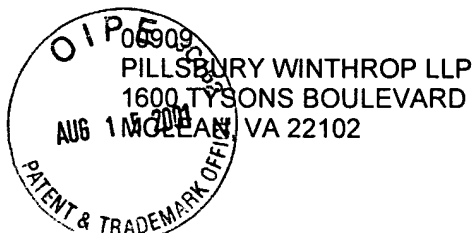
The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)



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*A copy of this notice MUST be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY